

05/25/01

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UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No 862.C2239

First Named Inventor or Application Identifier

SHIGEYUKI UZAWA, ET AL

Express Mail Label No

11000 U.S. PTO
09/864309

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status
See 37 CFR 1.27
3. ☒ Specification Total Pages **56**
4. ☒ Drawing(s) (35 USC 113) Total Sheets **22**
5. ☐ Oath or Declaration Total Pages
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed Statement attached deleting
inventor(s) named in the prior application, see
37 CFR 1.63(d)(2) and 1.33(b)
6. ☒ Application Data Sheet See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on
- i. ☐ CD-ROM or CD-R (2 copies), or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other _____

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. ____/_____
Prior application information Examiner _____ Group/Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18 CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label **05514** (Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

NAME				
Address				
City	State	Zip Code		
Country	Telephone	Fax		



CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1 16(c))	47-20 =	27	X \$ 18 00 =	\$ 486 00
	INDEPENDENT CLAIMS (37 CFR 1 16(b))	9-3 =	6	X \$ 80 00 =	\$ 480 00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1 16(d))			\$270.00 =	\$ 0.00
				BASIC FEE (37 CFR 1 16(a))	\$ 710 00
			Total of above Calculations =		\$ 1676 00
	Reduction by 50% for filing by small entity (Note 37 CFR 1 9, 1 27, 1 28)				
				TOTAL =	\$ 1676 00

19. Small entity status

- a. ☐ A small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired
- c. ☐ Is no longer claimed


20. ☒ A check in the amount of \$ 1676 00 to cover the filing fee is enclosed

21. ☐ A check in the amount of \$ _____ to cover the recordal fee is enclosed

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205

- a. ☒ Fees required under 37 CFR 1.16
- b. ☐ Fees required under 37 CFR 1 17
- c. ☐ Fees required under 37 CFR 1 18

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Steven E Warner - Reg No 33,326
SIGNATURE	
DATE	May 25, 2001

SEW/dc